

# Compliance and Cost Management...

*through content intelligence*

Independent data management and IT specialist 3d innovations (3di) provides bespoke services that help organisations take control of data management.

3di is a **SAFE** bet; offering a higher-value alternative to traditional highly priced market data management approaches. 3di's services are more cost effective than employing permanent staff and clients benefit from:

**Scalability** (resources cover increased workloads)

**Availability** (back-up staff eliminate exposure during staff absence)

**Flexibility** (tailored to your requirements)

**Experience** (extensive industry expertise).



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# Data Management Services Utility

**DATADESIGNDELIVERY**

# PROFILER

3di's web-based intelligence tool, **Profiler**, is the world's only global market data analysis tool. It provides in-depth information on the plethora of vendors and service providers in the market data industry, enabling financial institutions to quickly identify the value of a data source.

With this unique tool, organisations can effectively evaluate their business requirements against appropriate data sources, identifying opportunities for product acquisition and substitution.

Profiler's qualitative vendor and product information is continuously updated and purpose-built for reviewing market data. Profiler provides information on more than 1,800 data products and is growing daily.

Profiler offers a global database containing key company/ service information, including: strengths and weaknesses, data and functionality coverage and competitive solutions.

Information providers available in Profiler include:

- ✓ Market data vendors
- ✓ Specialist / third party information providers
- ✓ Rating agencies
- ✓ Global exchanges and ECNs.

**Profiler** offers extensive search capabilities across all asset classes, product functionality and product categories. Users can share, customise and export content. Profiler's analyst service, provided by 3di's in-house team of experts, supports clients with product short listing, special requests around data or products and general market data queries.

In addition to **Profiler's** core database, customers receive:

- ✓ Market data industry news and archive
- ✓ Glossary of market data and financial terminology
- ✓ Website listing (from niche data sources to financial news, industry websites and free or low tier MDS)
- ✓ Market regulation guides (MiFID, basel, etc.) market data solution guides (data-feeds, reference data, etc.) and country guides (regional solutions).

**Profiler** is available as part of 3di's DMSU or as a standalone product. It can also be integrated with market data inventory tools, intranet sites and other in-house applications.

BREAKING NEWS

INDUSTRY MONITORING

REGULATION REFERENCE

WEB BASED INTELLIGENCE

# DATA COMPLIANCE & DEPENDANCY MANAGER

Data Compliance & Dependancy Manager (**DCDM**), 3di's web-based tool, enables market data administrators / analysts to easily store and evaluate user, desk, application and exchange dependencies.

## Desk and User Profiles

### Features:

- Customise requirements and manage dependencies for desk and user profiles
- Produce reports on user profiles that sit outside the generic desk profile
- Produce reports on market data services utilised by each desk/ individual users
- Export/import to and from Excel.

### Benefits:

- ✓ Helps identify gaps and duplication from existing services
- ✓ Fast, effective means of verifying services and clarifying desk and user dependencies
- ✓ Reduces existing spend and financial exposure
- ✓ Increases operational efficiencies through centralisation and ease of use.

## Application Profiles

### Features:

- Detailed assets and instrument requirements listing plus services and data flows to and from other applications
- 'Dashboard' - provides a consolidated view; dependencies can be viewed at an aggregated business level
- View exchange data dependencies with links to key exchange information in Profiler
- View an application's subscriptions, contributions, upstream, downstream, internal / external pricing and reference dependencies
- Search, export and report functions.

### Benefits:

- ✓ Simplified data flow and data compliance monitoring
- ✓ Assists with external audit preparation
- ✓ Identifies compliance gaps by providing transparency on data use and distribution
- ✓ Operational efficiencies with fast retrieval of key application information.

## Automated Information Uploads

3di's Excel Data Dependency Evaluator (EDDE) plug-in enables users to create an inventory of market data dependencies spreadsheets located across the enterprise.

### Features:

- Available at a business, desk, user or application level
- Fully hosted solution requiring no client hardware or software licensing
- Automatically upload organisational and service information from inventories (including MDM, FITS, InfoMatch, FinOffice).

### Benefits:

- ✓ EDDE plug-in enables close scrutiny of business embedded market data increasing overall effectiveness of data governance
- ✓ Inventory uploads ensure: consistency with in-house management systems, greater control, faster response times and true transparency on services, usage and requirements
- ✓ Assists with spreadsheet governance.

# DATA MANAGEMENT SERVICES UTILITY

FLEXIBLE

3di's Data Management Services Utility (**DMSU**) is a fully managed service, which efficiently and cost-effectively manages daily tasks associated with the administration, analysis and control of market data. Clients can select components to supplement an existing market data team or fully outsource the function.

DMSU Administrative Components:	DMSU Analytical Components:
✓ DMSU change management	✓ Proactive user profiling and requirement analysis
✓ Administration of inventory, entitlement and usage tools	✓ Evaluation and substitution of market data solutions
✓ Invoice processing and reconciliation	✓ Negotiation/re-negotiation of vendor contracts
✓ DMSU procurement	✓ Data governance/compliance
✓ Business/senior management reporting	✓ Management of vendor/ exchange audits
✓ Budget and forecast analysis/ reporting	✓ Internal communication of market trends and regulatory changes impacting DMSU

AGILE